

DPD Rec'd PCT/PTO 15 MAR 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 576P070
INTERNATIONAL APPLICATION NO. PCT/JP2002/009671	INTERNATIONAL FILING DATE 20 September 2002	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/527843</b>
TITLE OF INVENTION DYE COMPOSITION, INK COMPOSITION, AND METHOD OF INK-JET RECORDING		PRIORITY DATE CLAIMED
APPLICANT(S) FOR DO/EO/US Hirokazu Kitayama and Yasuo Shirasaki		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. <input checked="" type="checkbox"/> is attached hereto.		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input checked="" type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: 1. Copy of the International Search Report; 2. Form PCT/IB/308		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/527843</div>		INTERNATIONAL APPLICATION NO. PCT/JP2002/009671		ATTORNEY'S DOCKET NUMBER 576P070	
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21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 <div style="text-align: right; font-weight: bold;">TOTAL OF ABOVE CALCULATIONS = \$1000.00</div>				Applicant use \$ \$ \$ \$ 1,000.00	Office use only    
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$ -	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ -	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$ 1,000.00	
Total claims	22 - 20 =	2	x \$50.00	\$ 100.00	
Independent claims	2 - 3 =	0	x \$200.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,460.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ -	
SUBTOTAL =				\$ 1,460.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -	
TOTAL NATIONAL FEE =				\$ 1,460.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1,500.00	
Amount to be refunded:					\$
Amount to be charged					\$

a. ☒ A check in the amount of \$ 1,460.00 & 40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0930 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0930. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO: CUSTOMER NO: 42754

Nields & Lemack  
176 E. Main Street - Suite 7  
Westboro, MA 01581

  
 SIGNATURE  
 Kevin S. Lemack  
 NAME  
 32,579  
 REGISTRATION NUMBER

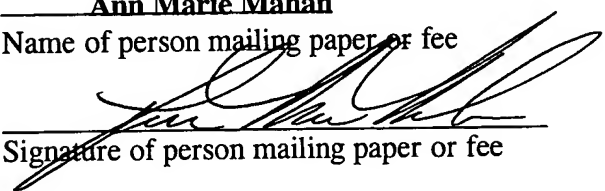
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hirokazu Kitayama et al.  
Serial No. : Not yet assigned (PCT/JP2002/009671)  
Filed : Herewith By Express Mail (20 September 2002)  
For : DYE COMPOSITION, INK COMPOSITION, AND  
METHOD OF INK-JET RECORDING  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : **Copy of the International Search Report**

"EXPRESS MAIL" MAILING LABEL NO. **ED 113525659 US**  
DATE OF DEPOSIT: March 15, 2005

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, **Mail Stop: PCT**, Alexandria, VA 22313-1450.

Ann Marie Mahan  
Name of person mailing paper or fee

  
Signature of person mailing paper or fee

10/527 843

DT15 Rec'd PCT/PTC 15 MAR 2005

Attorney Docket No. 576P070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hirokazu Kitayama and Yasuo Shirasaki**

For: **DYE COMPOSITION, INK COMPOSITION, AND METHOD OF INK-JET RECORDING**

**Mail Stop: PCT**  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir: **LETTER**

The above-identified applicants enclose for filing the national phase of a PCT patent application (**PCT/JP2002/009671**) entitled "**DYE COMPOSITION, INK COMPOSITION, AND METHOD OF INK-JET RECORDING**". Said attached PCT patent application includes (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2-Pages); (2) Translation of the International Application into English: 28-Page Specification (including 2-Pages of Claims (12) and 1-Page Abstract); (3) Executed Declaration (2-Pages); (4) Check in the amount of \$1,460.00 in payment of the Filing Fee; (5) Copy of the International Search Report (1-page); (6) Recordation Form Cover Sheet (1-Page), Assignment (2-Pages); (7) Check in the amount of \$40.00 in payment of the Recording Fee; and (8) Copy of the Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)(1-Page).

All correspondence concerning this application should be mailed to: **CUSTOMER NO: 42754**

**Kevin S. Lemack**  
**Nields & Lemack**  
**176 E. Main Street - Suite 7**  
**Westboro, Massachusetts 01581**

Respectfully submitted,

  
**Kevin S. Lemack**  
Attorney for Applicant  
Registration No. 32,579

Date: March 15, 2005

**EXPRESS MAIL NO: ED 113525659 US**

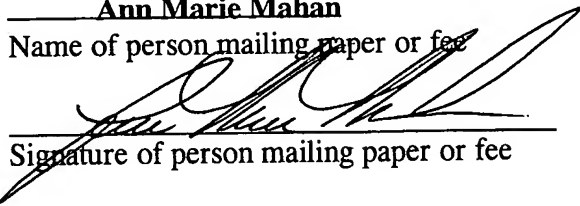
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Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : **Recording Fee**

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Ann Marie Mahan  
Name of person mailing paper or fee

  
Signature of person mailing paper or fee

10/527843

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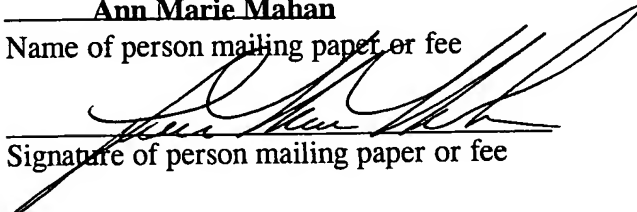
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Attorney  
Docket No. : 576P070  
Type of paper : **Copy of the Notice Informing the Applicant of the  
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Signature of person mailing paper or fee

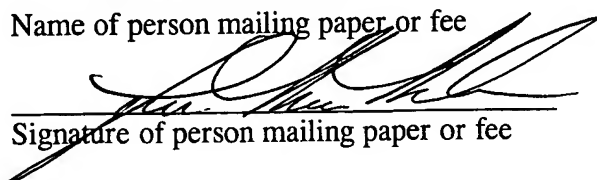
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Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : **Filing Fee**

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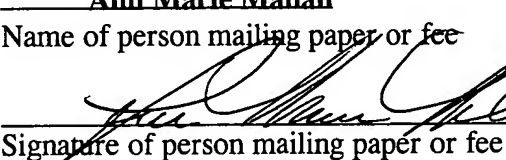
  
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METHOD OF INK-JET RECORDING  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : Assignment

"EXPRESS MAIL" MAILING LABEL NO. ED 113525659 US  
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DT15 Rec'd PCT/PTO 11.5 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

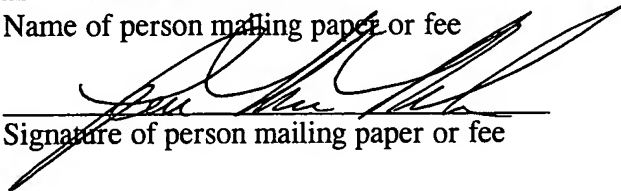
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For : DYE COMPOSITION, INK COMPOSITION, AND  
METHOD OF INK-JET RECORDING  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : Executed Declaration

"EXPRESS MAIL" MAILING LABEL NO. ED 113525659 US  
DATE OF DEPOSIT: March 15, 2005

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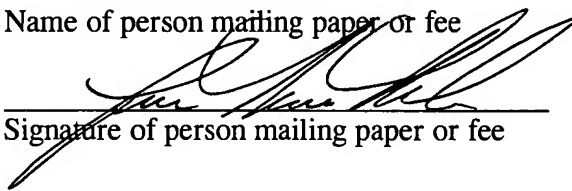
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METHOD OF INK-JET RECORDING  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : Translation of the International Application into English

"EXPRESS MAIL" MAILING LABEL NO. ED 113525659 US  
DATE OF DEPOSIT: March 15, 2005

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DT15 Rec'd PCT/PTO 1.5 MAR 2005

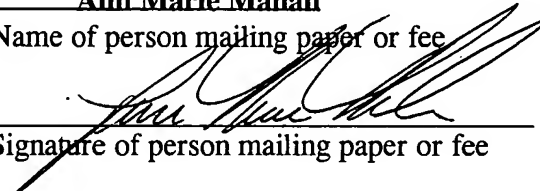
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Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 576P070  
Type of paper : Letter

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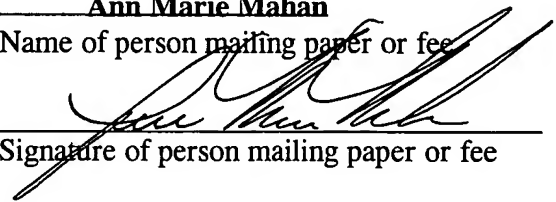
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Type of paper : **Transmittal Letter to the United States Designated/Elected Office  
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